

**Online Rates  
and Forms  
Filing Search  
Version 1.4  
Help Guide**

This online service is provided as a tool to customers who frequently request Rate and Form filings from our office. The objective of this user manual is to assist users of the Online Filing Search application with performing a search and downloading imaged documents.

At any time you need assistance you may contact our office.

Email: [pdr@oic.wa.gov](mailto:pdr@oic.wa.gov)

Public Records webpage: <https://www.insurance.wa.gov/connect-with-us/public-records/>

Phone: (360) 725-7003

Mailing address: Office of the Insurance Commissioner  
Attn: Information Governance  
PO Box 40255 Olympia, WA 98504-0255

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There are two ways to view filings. Either by using the search engine in the General Search tab; or the with a Tracker ID number in the Tracker ID Lookup tab.

The entry page explains the compliance requirements for copyrighted documents. You will need to click the terms agreement in the bottom left corner of the page to enter the site.

**Welcome to the OIC's Rates and Forms Filing Search Engine**

The Rates & Forms Filing Search engine will allow you to search for Life, Health, Property and Casualty filings. There are several search options available.

In addition to performing searches, this site will allow you to view all publicly available filings. The approved retention period for rate and form filings is eight years. Additional instructions are located in the [Help section](#)

If you have any questions or need additional assistance please contact our office at [pdr@oic.wa.gov](mailto:pdr@oic.wa.gov) or visit the [Public Record's web page](#). Our office will respond within five business days with the information requested or an estimated timeline for completion.

A separate [Health Insurance Rate Changes](#) database is available to search all individual and small group health insurance rate requests filed after July 1, 2011. Documentation on this site includes the original rate filing, a summary of the company's request and the OIC's final decision. You will also be able to post comments and sign up for email notifications when a company requests future rate changes.

I agree to the [Terms and Conditions](#)

[Continue](#)

# GENERAL SEARCH

## SEARCH FIELDS

You must select at least one Search Criteria

The screenshot shows the 'General Search' interface for the Washington State Office of the Insurance Commissioner. At the top right, there are links for 'Introduction', 'Search', 'Help', and 'Contact Us'. The main heading is 'Rates and Forms Filing Search'. Below this, there are two tabs: 'General Search' (selected) and 'Tracker ID Lookup'. The search criteria are defined by 'Search for Rates and Forms Filings by General Search Criteria'. A note states: 'NOTE: If you need additional assistance, please contact the [Public Records Office](#).' A survey prompt asks 'Tell us how we're doing!' with a 'Take our survey >' button. The search fields include: 'Type Of Insurance' (a dropdown menu), 'Company' (a list box containing: 01 Dental Plan LLC, 123CPL INC, 21st Century Advantage Insurance Company, 21st Century Assurance Company, 21ST CENTURY CASUALTY COMPANY, 21st Century Centennial Insurance Company, 21ST CENTURY INSURANCE COMPANY, 21st Century National Insurance Company), 'Description' (a text box), 'Filing Type' (checkboxes for Rate/Rule, Plan Binder, Form, and Vehicle Service Contract), 'Received Date' and 'Effective Date' (radio buttons with 'From' and 'To' date pickers), and 'Form Number' (a text box). At the bottom, there are radio buttons for 'Any Word', 'All Words', and 'Exact Phrase', and 'Search' and 'Reset' buttons. The footer contains the text: 'Rates and Forms Filing Search v1.4.3.0 - 10/25/2011 Copyright © 2013 - Washington State Office of the Insurance Commissioner'.

## Company

The company search contains a text box and a list of companies. Entering text in the text box will filter the list of companies that match the text entered into the text box. You can enter a single company name, or multiple company names separated by a comma. You can also use the wildcard character "\*" to match any character in a company name.

If companies are selected in the company list, then ONLY those companies' filings will be found. If no companies are selected in the company list, the system will search ALL.

## Type of Insurance

With the type of insurance search, one or more types of insurance can be selected. If you choose a type of insurance, an additional drop down list will be available for a more detailed search. If no selection is made, the search results will not be filtered by type of insurance.

## Filing Type

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With the filing type field, the search results can be filtered by:

**Rate:** the amount of money necessary to cover losses, cover expenses, and provide a profit to the insurer for a single unit of exposure. Rates, as contrasted with loss costs, include provision for the insurer's profit and expenses.

**Form:** a document prepared in a prescribed arrangement of words and layout. A rider, policy, endorsement, or application—all of these are forms.

**Plan Binder:** the mechanism for the submission of one to many plans for one market type on behalf of one company to one state. Each company will submit one binder that includes data for their whole individual market and one binder that includes data for their whole small group market.

**Vehicle Service Contract:** an agreement to perform or pay for the repair and/or maintenance of a motor vehicle subject to registration under RCW 48.16A. Such contracts may include additional coverage related to the repair, including towing, car rental, and emergency road services. Please note that a contract which covers only tires and/or wheels on a stand-alone basis is not considered a motor vehicle service contract.

## Received or Effective Date

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When narrowing your query by using a Received or Effective Date, the date from and to fields can be blank or contain a valid date. The OIC's retention for Rate and Form filings is eight years.

## Form Number

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Searching by form number will return any filings that have a form beginning with the text entered in the form number field.

## Description

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Searching by description will return any filings that use that wording from our database. The "\*" wildcard character is supported. The following search options affect the behavior of this field:

*Any Word* will find any filing that contains one or more of the words entered.

*All Words* will return any filing that contains all of the words entered regardless of the order in which they appear.

*Exact Phrase* will return and filing that contains all the words entered in the exact order they appear.

# SEARCH RESULTS

The search results are displayed in descending order so the most current are first. You can print the search results by clicking the "Print" button. Only 10 filings are displayed at a time. You can page through the search results using the paging bar at the top and bottom of the search results table. A filing with a large number of forms will only display ten forms at a time. You will be able to page through the remaining forms using the forms paging bar.

## Example of Search Results

**NOTE:** Results are sorted by Tracker ID (the Tracker ID is a unique identifier for each filing)

Print

1 - 10 of 10992 Filings 1 2 3 4 5 6 7 8 9 10 ...

Download	Filing Details														
Imaged document(s) not available online. See <a href="#">User Manual</a> .	OIC Tracker ID: 252561 Company Tracker ID: 600143965M1 SERFF Tracker ID: 5562-125929990 Types Of Insurance: H16G Group Health - Major Medical Companies: REGENCE BLUESHIELD Description: Short Form Pental Granite & Marble, Inc.	Type: Rate/Rule Has Manual: No Public: Yes	Received Date: 3/5/2013 Effective Date: Status: Review Pending												
Imaged document(s) not available online. See <a href="#">User Manual</a> .	OIC Tracker ID: 252562 Company Tracker ID: 600143965M1 SERFF Tracker ID: 5562-125920010 Types Of Insurance: H16G Group Health - Major Medical Companies: REGENCE BLUESHIELD Description: Short Form Pental Granite & Marble, Inc. - Proprietary	Type: Rate/Rule Has Manual: No Public: Yes	Received Date: 3/5/2013 Effective Date: Status: Review Pending												
Imaged document(s) not available online. See <a href="#">User Manual</a> .	OIC Tracker ID: 252569 Company Tracker ID: RBCSSO2012NETWORKENROLLMENTFOR SERFF Tracker ID: RWFC-125920114 Types Of Insurance: H21 Health - Other Companies: REGENCE BLUECROSS BLUESHIELD OF OREGON Description: RBCSSO 2012 Network Enrollment Form 5	Type: Form Has Manual: No Public: Yes	Received Date: 3/5/2013 Effective Date: Status: Review Pending												
<table border="1"> <thead> <tr> <th>Form #</th> <th>Form Description</th> </tr> </thead> <tbody> <tr> <td>FORM52012RBCSSOPARTICIPATINGINDIVIDUAL</td> <td>Network Enrollment Form 5 RBCSSO Participating Individual</td> </tr> <tr> <td>FORM52012RBCSSOPARTICIPATINGINDIVIDUALCO</td> <td>Network Enrollment Form 5 RBCSSO Participating Individual Conversion</td> </tr> <tr> <td>FORM52012RBCSSOPARTICIPATINGSMALLGROUP</td> <td>Network Enrollment Form 5 RBCSSO Participating Small Group</td> </tr> <tr> <td>FORM52012RBCSSOPARTICIPATINGLARGEGROUP</td> <td>Network Enrollment Form 5 RBCSSO Participating Large Group</td> </tr> <tr> <td>FORM52012RBCSSOPREFERREDPLANINDIVIDUAL</td> <td>Network Enrollment Form 5 RBCSSO Preferred Plan Individual</td> </tr> </tbody> </table>				Form #	Form Description	FORM52012RBCSSOPARTICIPATINGINDIVIDUAL	Network Enrollment Form 5 RBCSSO Participating Individual	FORM52012RBCSSOPARTICIPATINGINDIVIDUALCO	Network Enrollment Form 5 RBCSSO Participating Individual Conversion	FORM52012RBCSSOPARTICIPATINGSMALLGROUP	Network Enrollment Form 5 RBCSSO Participating Small Group	FORM52012RBCSSOPARTICIPATINGLARGEGROUP	Network Enrollment Form 5 RBCSSO Participating Large Group	FORM52012RBCSSOPREFERREDPLANINDIVIDUAL	Network Enrollment Form 5 RBCSSO Preferred Plan Individual
Form #	Form Description														
FORM52012RBCSSOPARTICIPATINGINDIVIDUAL	Network Enrollment Form 5 RBCSSO Participating Individual														
FORM52012RBCSSOPARTICIPATINGINDIVIDUALCO	Network Enrollment Form 5 RBCSSO Participating Individual Conversion														
FORM52012RBCSSOPARTICIPATINGSMALLGROUP	Network Enrollment Form 5 RBCSSO Participating Small Group														
FORM52012RBCSSOPARTICIPATINGLARGEGROUP	Network Enrollment Form 5 RBCSSO Participating Large Group														
FORM52012RBCSSOPREFERREDPLANINDIVIDUAL	Network Enrollment Form 5 RBCSSO Preferred Plan Individual														
Imaged document(s) not available online. See <a href="#">User Manual</a> .	OIC Tracker ID: 252497 Company Tracker ID: 100115925M1 SERFF Tracker ID: 5562-125921754 Types Of Insurance: H16G Group Health - Major Medical Companies: REGENCE BLUESHIELD Description: Short Form Santall Kennedy (U.S.) LP - Proprietary	Type: Rate/Rule Has Manual: No Public: Yes	Received Date: 3/7/2013 Effective Date: Status: Review Pending												
Imaged document(s) not available online. See <a href="#">User Manual</a> .	OIC Tracker ID: 252498 Company Tracker ID: 100115925M1 SERFF Tracker ID: 5562-125921843 Types Of Insurance: H16G Group Health - Major Medical Companies: REGENCE BLUESHIELD Description: Short Form Santall Kennedy (U.S.) LP	Type: Rate/Rule Has Manual: No Public: Yes	Received Date: 3/7/2013 Effective Date: Status: Review Pending												
1 Document 7 Pages 145 KB	OIC Tracker ID: 252444 Company Tracker ID: 600261525M1 SERFF Tracker ID: 5562-125926192 Types Of Insurance: H16G Group Health - Major Medical Description: Short Form Quilcote Tribal School	Type: Rate/Rule Has Manual: No Public: Yes	Received Date: 3/5/2013 Effective Date: 3/1/2013 Status: Filed												
1 Document 7 Pages 145 KB	OIC Tracker ID: 252445 Company Tracker ID: 100014345M1 SERFF Tracker ID: 5562-125926213 Types Of Insurance: H16G Group Health - Major Medical Companies: REGENCE BLUESHIELD Description: Short Form Part of Anacortes	Type: Rate/Rule Has Manual: No Public: Yes	Received Date: 3/5/2013 Effective Date: 4/1/2013 Status: Filed												
Not subject to public disclosure. See <a href="#">User Manual</a> .	OIC Tracker ID: 252446 Company Tracker ID: 600261525M1 SERFF Tracker ID: 5562-125926175 Types Of Insurance: H16G Group Health - Major Medical Companies: REGENCE BLUESHIELD Description: Short Form Quilcote Tribal School-Proprietary	Type: Rate/Rule Has Manual: No Public: No	Received Date: 3/5/2013 Effective Date: 3/1/2013 Status: Filed												
Not subject to public disclosure. See <a href="#">User Manual</a> .	OIC Tracker ID: 252447 Company Tracker ID: 100014345M1 SERFF Tracker ID: 5562-125926179 Types Of Insurance: H16G Group Health - Major Medical Companies: REGENCE BLUESHIELD Description: Short Form Part of Anacortes-Proprietary	Type: Rate/Rule Has Manual: No Public: No	Received Date: 3/5/2013 Effective Date: 4/1/2013 Status: Filed												
Not subject to public disclosure. See <a href="#">User Manual</a> .	OIC Tracker ID: 252337 Company Tracker ID: 100059255M1 SERFF Tracker ID: 5562-125926095 Types Of Insurance: H16G Group Health - Major Medical Companies: REGENCE BLUESHIELD Description: Short Form Somalinea Resort Company LLC - Proprietary	Type: Rate/Rule Has Manual: No Public: No	Received Date: 3/4/2013 Effective Date: 3/1/2013 Status: Filed												

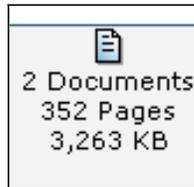
1 - 10 of 10992 Filings 1 2 3 4 5 6 7 8 9 10 ...

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# DOWNLOAD and VIEW FILINGS

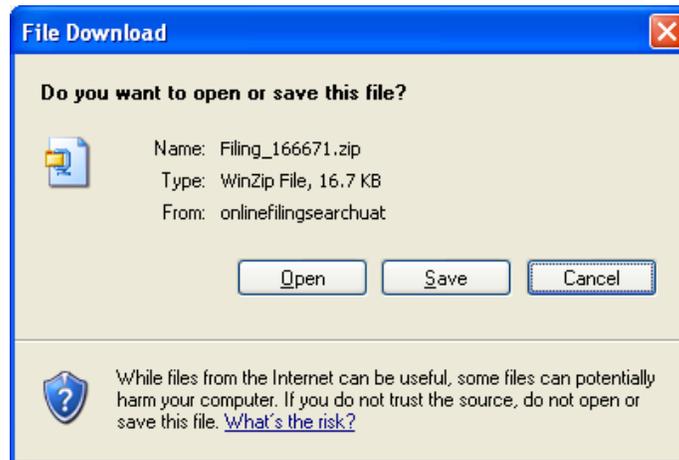
Some filings are not subject to public disclosure, and in those cases, a message will display indicating so. See the list of Exemptions on page 12. For those that can be downloaded, a document icon will appear with information about the filing. Clicking on the download icon will download the filing to your computer either as a pdf or a \*zip file.

Filings created as a pdf can be viewed using Adobe Reader. ([Download free Adobe Reader](#)). Zipped filings can be viewed by following the instructions below.



**Download Icon**

When presented with the download dialog you can choose to open the filing, or save it to your computer.



**Download Dialog**

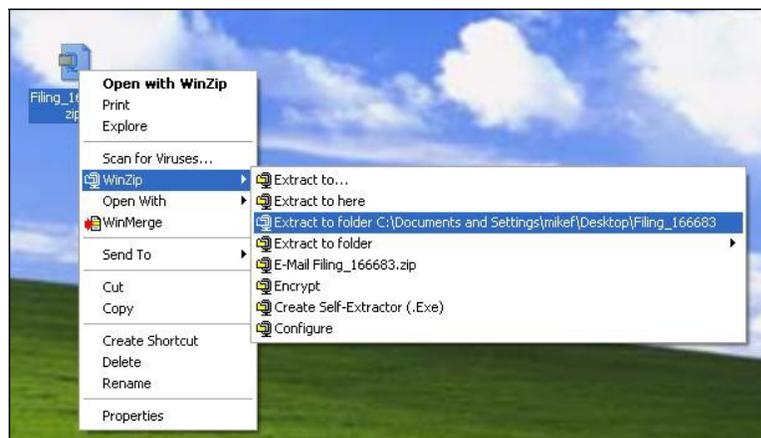
If choosing “Open”, the \*.zip file will attempt to open using the zip utility available on your machine. If you experience the “Compressed (zipped) Folders Error” there is a Microsoft recommended workaround below.



Typically the workaround that resolves the issue is to **Save** or **Save this file to disk** in the **File Download** dialog box that appears. In the **Save As** dialog box, specify a location to save the file to (for example, **Desktop**), and then click **Save**. When the download is finished, open the .zip file, and then extract the files that are contained in it.

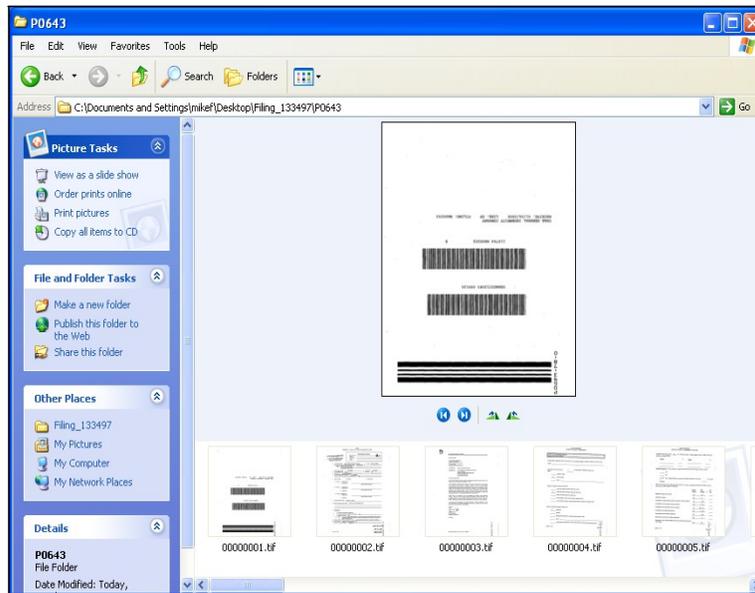
To learn more about this Microsoft known issue access the following URL:  
<http://support.microsoft.com/kb/308090>.

If choosing “Save”, the \*.zip file will be downloaded to your computer’s file system. You should extract the file to your computer using the zip utility. This extracts all the files contained in the zip file to your computer’s file system, so each file can be viewed independently. The process for this will depend on your zip utility. The illustration below shows the WinZip zip utility.



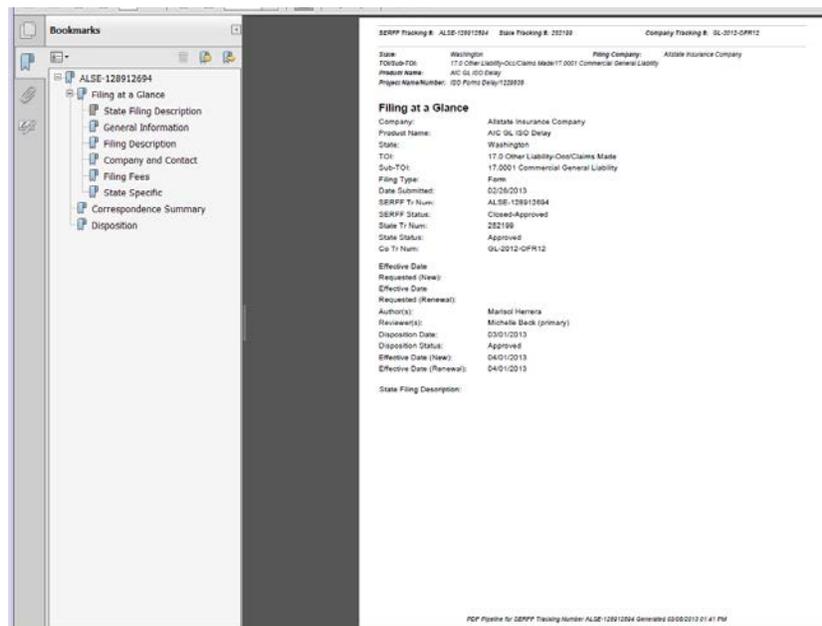
**Using WinZip to Extract the Filing**

Once the filing is extracted to the your computer's file system, you can browse the filing just like any other file on their computer.



Older filings were filmed as tif images (shown above)  
Newer filings are downloaded as pdf files (below)

[\(Download free Adobe Reader\)](#)



If you have trouble accessing any filings, please notify our office at <mailto:pdr@oic.wa.gov>

General Search
Tracker ID Lookup

**Search for Rates and Forms Filings by Tracker ID**

**Note:** You need to have a specific Tracker ID number in order to view filings. This will also be the process used for accessing all "other" types of filings. If you need help in locating a specific Tracker ID, please contact the [Public Records Office](#).

**OIC Tracker ID**  
 **Company Tracker ID**  
 **SERFF Tracker ID**

244821

**NOTE:** Results are sorted by Tracker ID (the Tracker ID is a unique identifier for each filing)

1 - 1 of 1 Filings

Download	Filing Details		
<div style="font-size: 0.8em;">                      1 Document                      24 Pages                      198 KB                 </div>	OIC Tracker ID: 244821 Company Tracker ID: FR29153 SERFF Tracker ID: SFMA-128617730 Types Of Insurance: 030 Farmowners (Personal) Companies: STATE FARM FIRE & CASUALTY COMPANY Description: WA Amend	Type: Form Has Manual: No Public: Yes	Received Date: 8/7/2012 Effective Date: 1/20/2013 Status: Approved
Form #	Form Description		
FE85576	WA Amend		

1 - 1 of 1 Forms

1 - 1 of 1 Filings

Rates and Forms Filing Search v1.4.3.0 - 10/25/2011  
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## TRACKER ID LOOKUP TAB

### VIEWING FILINGS BY TRACKER ID

#### OIC Tracker ID

The OIC tracker ID is a unique number used by the Office of the Insurance Commissioner to individually identify a filing. It is always a number. Performing a search will provide the OIC Tracker ID.

#### Company Tracker ID

The Company Tracker ID number is assigned by the company. This number could contain letters and numbers.

#### SERFF Tracker ID

The SERFF tracker ID number is assigned by the company. Older filings contained a mix of letters and numbers. Newer filings start with the first four letters of the company name followed by a series of numbers.

Once you enter information using one of the above methods, a result list will appear. To view any filings from this tab, follow the **DOWNLOADING AND VIEWING FILING** instructions.

## FILING STATUS DEFINITIONS

- Review Pending – filing is under review
- Active Suspense –Used after a disapproval letter is written and OIC is waiting for the company's response.
- Response Received – Company response to OIC's disapproval letter has been received and is awaiting further review.
- Approved – means the filing received review and was found to be in compliance with Washington state laws and is acceptable to be marketed and sold.
- Approval Withdrawn – If after approval the OIC finds that the filing was out of compliance with Washington state laws, OIC may withdraw their approval.
- Acknowledged – Means OIC acknowledges receipt of a filing but it is not subject to review.
- Withdrawn – Filer has requested that their filing be withdrawn. This could happen any time in the process but means that the product will not be used, sold or marketed.
- Disapproved – Means that filing received review but was disapproved for non-compliance with Washington state laws.
- Closed – means the filing was closed due to lack of company response to OIC objections.
- Filed – Used for a file and use product. Review does occur and if the filing is found out of compliance with Washington laws it could be disapproved.
- Deemed – See RCW 48.18.100(3), certain types of filings can be deemed approved. If a filing is deemed and later found to have errors, the filing will have to be revised and any changes sent to anyone to whom the contract was issued.
- Full Review – The Full Review status is being phased out. Synonymous with Filed except that the filing received a full review.
- Complete – used with Plan Binder filings only. Means that the OICs review of the data in the plan binder is complete. Does not mean that the plans within the binder were approved or disapproved. Refer back to the corresponding rate and form filings for approval or disapproval of plans.
- Referred – filing is referred to another unit or division of OIC for response. This is not a final action but means that a preliminary review has been completed and is awaiting further action.
- Opinion Received – filing review has been completed by another unit or division of OIC and is awaiting final action.
- File Not Reviewed – filing was received but was not reviewed. This status is being phased out.
- Full Review – Filing was filed and received a full review at a later date. This status is being phased out.
- Partial Review – Phased out.
- Specific Review – Phased out.

# DOCUMENTS NOT AVAILABLE

## “Imaged documents not available online”

**Download**  
Imaged  
documents not  
available online.  
See [User Manual](#).

There are a number of reasons that an image is not available online. Typically this means a filing has not been imaged, uploaded or the filing may still be under review and has not been finalized.

Some older filings may be too large to download. If you have questions regarding this, contact the Public Records unit at [pdr@oic.wa.gov](mailto:pdr@oic.wa.gov)

## “Not subject to public disclosure”

**Download**  
Not subject to  
public disclosure.  
See [User Manual](#).

A filing may not be subject to public disclosure for a number of reasons.

**RCW 48.02.120(3)** Actuarial formulas, statistics, and assumptions submitted in support of a rate or form filing by an insurer, health care service contractor, or health maintenance organization or submitted to the commissioner upon his or her request shall be withheld from public inspection in order to preserve trade secrets or prevent unfair competition.

**Scoring Models** RCW 48.19.035(2)(a) .... All information filed under this subsection shall be considered trade secrets under RCW 48.02.120(3).

**P&C Rates** RCW 48.19.040(5) A filing made pursuant to this chapter shall be exempt from the provisions of RCW 48.02.120(3). However, the filing and all supporting information accompanying it shall be open to public inspection only after the filing becomes effective.

**Provider Compensation Agreements** RCW 48.02.120(2), or public disclosure under chapter 42.56 RCW, if filed in accordance with the procedures for submitting confidential filings through the system for electronic rate and form filings and the general filing instructions as set forth by the commissioner.

**For Grandfathered Individual and Small Group Health Plans Renewed on or after January 1, 2014**  
Per RCW 48.02.120(4), except for the numeric values of the small group rating factors as authorized by RCW 48.21.045(3)(a), RCW 48.44.023(3)(a), and RCW 48.46.066(3)(a) and unique new products specifically approved by the Commissioner, all individual and small group rate filings are subject to public inspection.

**For Non-grandfathered Individual and Small Group Health Plans offered, Issued, or Renewed on or after January 1, 2014** Per RCW 48.02.120(4) all non-grandfathered individual and small group rate filings are subject to public inspection.