Spill Prevention, Preparedness, and Response Program

2019–2021 INTERIM STRATEGIC PLAN

DEPARTMENT OF ECOLOGY
State of Washington
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From the Program Manager

Where do we need to improve the system to help us and the entire spill response community come closer to our “zero spills goal”? With continued changes in oil types and the modes of oil transportation, there is no better time than now for us to talk about our preferred future and begin the hard work to get there.

Over the last decade, significant changes have occurred in how crude oil is transported through the state. Historically, 90 percent of crude oil bound for Washington’s refineries was delivered here by tank ship from Alaska or from other international sources of oil. Today, crude oil transportation is rapidly shifting to delivery by rail and pipeline. Crude oil also passes through our rail corridors bound for other coastal states. These shifts were well documented in the 2014 Marine and Rail Oil Transportation Study developed by the Spills Program in 2014–2015.

The properties of oils produced today present new concerns. Canadian bitumen crude oil in various forms raises spill response challenges — it may weather and sink or submerge in water if spilled, making oil recovery difficult. Bakken crude oil has shown a propensity to catch fire during tank car derailments, putting public safety at risk. These hazards have come to light through several rail incidents in the United States and Canada, notably in a tragic rail incident in Quebec when 47 people lost their lives as an oil train derailed and burned.

A catastrophic incident is not required to make changes to improve our program. Since 1997, we have looked for ways to prevent oil spills and improve our preparedness and response posture through lessons learned from spills and drills. Washington is a national leader in oil spill prevention, preparedness, and response because of our forward-leaning leadership that makes significant, strategic investments.

The 2015 Legislature took another significant step forward through passage of the Oil Transportation Safety Act. Additional steps were later taken in the 2018 Strengthening Oil Transportation Safety Act and the 2019 Reducing Threats to Southern Resident Killer Whales by Improving the Safety of Oil Transportation Act. The improvements that will come from these bills will help address the risk resulting from the changing transport of oil and help our state maintain its status as a national leader.

We have adapted and evolved with changing political and economic situations, and we will continue to do so into the future. In an organization as dynamic as oil spill prevention, preparedness, and response, we must react and change by implementing lessons learned. We must also lead change. Our current and future success depends on all of us having a shared vision, a commitment to continuous improvement, and a mission to protect Washington’s environment, economy, and way of life.
More than 20 billion gallons of oil and hazardous materials are transported through Washington State each year by vessel, pipeline, and rail. Human error, equipment failure, and natural disasters can lead to releases of these materials with potentially disastrous consequences. Oil and chemical spills threaten Washington’s valuable natural resources.

Over the years, the nature of these threats has changed due to the market and new technological innovations. These threats — whether on land or water — endanger public health, safety, and the environment, and can ultimately damage the state’s economy and quality of life.

The Washington Department of Ecology’s Spill Prevention, Preparedness, and Response Program’s work is diverse. The program works with communities, industry, state and federal agencies, tribes, and other partners to prevent and prepare for oil spills. The program also responds to oil and hazardous material spills 24/7 from six offices located throughout the state, and works to assess and restore environmental damage resulting from spills. The program values and takes pride in being a results-oriented, collaborative, adaptable organization.

This interim strategic plan provides a framework for the Spills Program’s work over the next two years and will be used to guide progress toward reaching the vision. The strategic plan assumes additional program resources will become available. If this occurs, the next two years will continue to be a transformational period when the program will build upon its competencies to address the emerging issues of oil transportation and risk.

Goals

Seven goals are identified in this strategic plan that further the vision of the Spills Program. The goals are supported by strategies discussed in this plan. The goals include the following:

1. Achieve robust and sustainable funding to support organizational capacity to accomplish activities that align with legislative and public expectations.
2. Build strong external partnerships through innovative collaboration, effective communication, and transparency.
3. Improve oil transportation safety and analyze risk to continue progress toward zero spills.
4. Continue to diligently prepare for and respond to spills to protect people and the environment.
5. Respond and restore the environment in a rapid, aggressive and well-coordinated manner.
6. Build and maintain a positive organizational culture to attract and retain a talented workforce.
7. Improve our dialog to increase public trust.
**Methodology**

The strategic plan reflects and is aligned with the goals of the Governor’s Results Washington, Ecology’s strategic framework, applicable laws, the Spills Program’s mission and vision, and other strategic documents.

The Governor’s Results Washington sets clear goals for improvement and tracks results to show how state government is delivering services and meeting performance goals. Ecology’s work supports Goal 3: Sustainable Energy and a Clean Environment and Goal 5: Efficient, Effective and Accountable Government. Work in the Spills Program also supports the agency’s strategic priorities of preventing and reducing toxic threats, and protecting and restoring Puget Sound. Improvement of oil spill preparedness and response is specifically identified as an Ecology strategy for protecting and restoring Puget Sound.

Additionally, the 2014 Marine and Rail Oil Transportation Study, 2017 Columbia River Vessel Traffic Safety Assessment, 2018 Grays Harbor Vessel Traffic Risk Assessment, and 2019 Report of Vessel Traffic and Vessel Traffic Safety: Strait of Juan de Fuca and Puget Sound, which looked at the changing oil transportation landscape in the state and provided a number of key recommendations, are significant drivers of this strategic plan.

Legislatively, the 2015 Oil Transportation Safety Act, 2018 Strengthening Oil Transportation Safety Act, and 2019 Reducing Threats to Southern Resident Killer Whales by Improving the Safety of Oil Transportation Act provided a number of new measures to assist the Spills Program to address the changing oil picture, focusing on crude oil shipped by rail and medium-sized tank vessels, as well as non-floating oils. Many of the recommendations and provisions of these studies and safety acts will be implemented as part of the strategies described in this plan.

**Relationship between strategic and program plans**

The strategic plan provides broad direction for new and existing policy efforts. This interim plan revises a six-year strategic plan, to provide guidance to the program for a two-year period. Large-scale policy decisions made by the Spills Program, including future agency-request legislation, will be guided by the strategic plan. Goals and strategies identified in the plan will be further defined, prioritized, and implemented in the program plan.

The program plan supports the strategic plan by describing for staff and external stakeholders the activities to be completed to support the goals and strategies in the strategic plan. The program plan also contains details regarding performance measures to reflect progress, and identifies funding needs that must be met in order to reach these goals. A new program plan is written each biennium to address the near-term priority strategies. The program plan is adjusted to reflect external events, changes in the program structure and resources, and progress on activities and performance goals.
Plan terminology

The strategic and program plans are developed using a consistent framework so decision makers, staff, and stakeholders understand the structure of each plan, and the connection between the two plans is clear. This framework presents a hierarchy of guidance that defines the broad purpose of the Spills Program and provides more specific levels of guidance that show how the program intends to support its purpose and reach its goals.

The strategic plan is based on the following operational definitions:

The program’s mission describes the purpose of the program by answering: “Why does the program exist?”

The program’s vision supports the mission. It is a broad statement that provides a framework for the strategic planning process by describing the desired future state of the program. The vision answers: “Where does the program want to be?”

The program’s goals provide direction for reaching the vision. The goals are broad statements about desired outcomes, but are more specific than a vision. They answer: “What does the program need to do to accomplish the vision?”

Strategies are developed to support the goals. They define directions, methods, processes, or steps used to achieve the goals. Strategies are more specific than goals and act as a link between goals and action items.

Action items implement strategies. They have measurable outcomes and describe the specific projects or activities necessary to reach the goals. Action items are linked to specific resources, have identified levels of responsibility, and have a timeline for completion. They answer the question: “What will move our work forward?”

The goals support the vision, the strategies support the goals, and the action items support the strategies. This hierarchy makes it clear to plan users that all work connects to higher-level policies and guidance.

Hierarchy of connections
GOALS AND STRATEGIES

The Spills Program’s strategic plan contains goals and strategies to achieve bolder environmental outcomes for spill prevention, preparedness, and response in the state of Washington. Successful implementation of the strategic plan requires support from local, state, federal, and tribal partners.

The remainder of this document contains the goals that will drive the Spills Program’s efforts for the next two years. The goals are not listed in a priority order, but in an order of convenience. For instance, Goal 3 is not a greater priority than Goal 5. The seven goals include funding (Goal 1), external partnership (Goal 2), risk reduction (Goal 3), preparedness (Goal 4), response and restoration (Goal 5), organizational culture (Goal 6), and public dialog (Goal 7).

In some cases, the goals require additional funding and staff, and possibly new legislation or rulemaking. Some strategies are already in the current program plan but have been updated. Many of the strategies reflect legislative direction from the 2015, 2018, and 2019 oil transportation safety acts, which include new safety measures for crude by rail transport and medium-size tank ships in the Puget Sound. Other strategies will be pursued as opportunities present themselves.
Funding for the state Spills Program has not kept up with legislative appropriations since the barrel taxes were established in 1991. Historically, the Oil Spill Prevention Account has faced significant shortfalls, requiring budget intervention in order to maintain program activities. Additionally, as the risk picture has changed in recent years, the funding structure is no longer allocated to match the risk.

Although the 2015 and 2018 oil transportation safety acts provide additional safety protection measures for the state, the total revenues from this legislation do not provide a long term funding resolution for the Spills Program.

The program continues to explore new funding mechanisms, as directed by the Legislature in the 2018 Strengthening Oil Transportation Safety Act. A sustainable funding source can help maintain high morale and retain much-needed expertise in the program by ensuring job security.

Goal 1: Achieve robust and sustainable funding to support organizational capacity to accomplish activities that align with legislative and public expectations.

GOAL 1 STRATEGIES

1. Secure a robust and ongoing funding source to maintain the highest levels of spill prevention.
2. Secure the financial ability of the state to respond during a prolonged, major spill through the Oil Spill Response Account.
3. Present accurate data to support effective decision-making, performance measures and best use of public resources.
4. Recruit and retain legislative champions to help secure funding.
The Spills Program works closely with federal, tribal, state, and local partners, including the United States Coast Guard, the United States Environmental Protection Agency, the United States Department of Transportation, the Federal Railroad Administration, other federal and state agencies, counties, cities, industry, and environmental organizations.

Recent crude by rail incidents in the United States and Canada highlight the risks of oil transported by rail. Risks to environmental, community, and economic resources are heightened with the increase in crude by rail. Vessel traffic has also changed as a result of increased crude by rail and pipelines from domestic and Canadian oil production. Oil movement in neighboring British Columbia also creates potential risks for Washington State.

The Spills Program will collaborate with its partners to address the new risks posed by this shift in oil transportation and develop sustainable solutions to protect Washington’s resources. One example of this collaboration is the Pacific States/British Columbia Oil Spill Task Force. Additionally, the 2018 Strengthening Oil Transportation Safety Act created the Salish Sea Shared Waters Forum to bring together additional stakeholders representing all levels of government, tribes, environmental groups, and industry to discuss existing and proposed practices for protecting the region’s resources.

The 2014 Marine and Rail Oil Transportation Study identified areas of collaboration needed to increase the protection of Washington’s waters. For example, Ecology and the Washington Utilities and Transportation Commission were urged to develop Railroad Safety Committees similar to the U.S. Coast Guard-sponsored Harbor Safety Committees. Other recommendations identified collaborations with the Federal Railroad Administration, the Northwest Area Committee (NWAC), the Federal Pipeline and Hazardous Material Safety Administration, and with Washington’s Congressional delegation.

The report also urged collaboration with state partners such as the Washington State Board of Pilotage Commissioners, the State Fire Marshal, and other state permitting agencies.

The program will actively work to strengthen relationships with the U.S. Coast Guard and other agencies and stakeholders.

**GOAL 2 STRATEGIES**

1. Enhance coordination and cooperation with the U.S. Coast Guard and Environmental Protection Agency.

2. Enhance Ecology’s involvement with committees and associations to promote prevention measures and achieve more uniform oil spill response standards and equipment capability.

3. Increase our participation with permitting agencies of new oil-related facilities to ensure thorough risk analysis and appropriate safety measures are required.

4. Increase our engagement with tribes on oil transportation issues.

5. Improve coordination with local governments on oil/hazmat incidents.
**GOALS AND STRATEGIES**

**Goal 3: Improve oil transportation safety and analyze risk to continue progress toward zero spills.**

The program’s genesis was to provide leadership and coordination to assess and resolve spill threats to the state’s treasured waters. Significant progress has been made through traditional prevention activities that emphasize vessel and facility inspections, technical assistance, regulation and inspection of high-risk oil transfers. Key risk factors, such as poor maintenance, procedural error and judgment, crew fatigue, reduced situational awareness (includes crewing standards), equipment failure, and the lack of adequate company operating procedures and policies play a prominent role in marine incidents.

Ecology will continue to emphasize human and organizational factors as the key to spill prevention in Washington’s waters. The prevention goal is to be collaborative with regulators and industry to foster prevention improvements. It is re-emphasized by the program’s compliance efforts that range from warnings to significant monetary penalties for spills. These activities must continue to fully meet the expectations of the Legislature and the public.

The risks associated with changing vessel traffic patterns on the Columbia River, Puget Sound, Grays Harbor, and at the entrance to the Strait of Juan de Fuca must continue to be analyzed and addressed, as must the changing risk pattern in inland areas due to movement of oil by rail.

The Spills Program is responding to this change in oil transportation with a risk-based approach, relying on all available prevention tools within the program’s authority. The new challenges build on the program’s foundation for finding innovative ways to address emerging risks.

The 2015 Legislature specifically directed Ecology to evaluate vessel traffic risks in the Columbia River and provide safety recommendations accordingly. The Legislature also provided long-term funding to conduct vessel traffic risk work in areas of the state where it presently does not exist and to periodically update this risk work in other locations to include Puget Sound.
Support was also given to develop and periodically update a rail traffic risk assessment model to analyze changes in the rail transportation system. The Washington State Board of Pilotage Commissioners was also authorized to conduct rulemaking in Grays Harbor, conditioned on the approval of a crude oil facility in the area, to which Ecology will provide technical assistance.

This plan calls for several new or expanded risk assessment, management, and communication activities that would enhance environmental protection. In completing these activities, the program will focus on the benefits to their primary customers — the public and environment — while striving to meet legislative direction.

With customers in mind, agency personnel will continue to work with regulators, vessel officers and crews, oil deliverers, and industry representatives to heighten awareness and improve compliance with the international, federal, and state regulatory regimes.

Spills personnel will also continue to emphasize the use of voluntary measures, modeled on programs like the Harbor Safety Committee standards of care, the voluntary best achievable protection (BAP) program, and the Exceptional Compliance Program for tankers.

Stakeholder work has been instrumental in dramatically reducing the rate of major oil spills in the last 20 years, and will be maintained as part of the program’s work.

**GOAL 3 STRATEGIES**

1. Through accurate and relevant information assessment and analysis, maintain a clear understanding of the changing cargo commodity risk and spill and incident risks that face Washington State.

2. Provide incentives and technical assistance to companies to enhance their ability to comply with — and voluntarily exceed — industry, state, national, and international safety and oil spill prevention and preparedness standards and regulations.

3. Update inspection, preparedness, and enforcement activities to anticipate evolving trends, while focusing on reducing risk through appropriate safety recommendations with industry and all levels of government.

4. Expand the preventative and preparedness marine safety mission, relying on increased inspection activities, outreach, and investigations that will help address incident risks, including risks from commercial fishing and towing operations.

5. Ensure best industry practices and best achievable protection for maritime operations including facilities, vessels, rail, and pipelines.

6. Create world-class modeling and analysis capability.
It is important to provide local governments and tribes with accurate information and initial resources to respond to spills. By providing assistance such as equipment caches, advance notice information, and access to geographic response plans (GRPs), those on the frontline will be better prepared to respond to a spill.

The Spills Program aims to ensure that measures for the best achievable protection (BAP) for a spill are in place. BAP is achieved by promoting the highest standards through the use of technology, maintaining the best model for use of multi-vessel, facility, pipeline, and umbrella plans in this state, focusing on a rigorous drill program that measures the effectiveness of our plans, and folding lessons learned back into the contingency plans to improve them.

The program held its first BAP conference for our communities and response partners in 2015. Initially the Legislature applied the requirement to maintain BAP only to regulated vessels. In the 2015 legislative session, the requirement to ensure BAP was also applied to facilities. With the increase of crude by rail, the changing properties of oils, and changing vessel traffic, the program will need to stay flexible to ensure BAP.

Under the framework of the Northwest Area Contingency Plan (NWACP), the Spills Program will enhance GRPs to protect areas vulnerable to spills from oil transported by vessel, rail, and pipeline, or oil stored at facilities. BAP for crude by rail will also require well-thought-out contingency planning. This work will mirror the structure of contingency planning for vessels and facilities.

By having contingency plans in place for rail, vessels, and facilities, industry will be able to demonstrate through drills that they are prepared for an oil spill no matter the mode of transport.

GOAL 4 STRATEGIES

1. Test and enhance GRPs to ensure swift and effective spill response throughout the state to protect sensitive resources.

2. Improve the capability to respond to spills in difficult environments and situations using best achievable protection. This includes incidents in open and fast waters, under limited visibility, and with oils that may sink or submerge in water.

3. Evolve the state’s drill program to respond to various types and sizes of spills.

4. Build on successful transboundary spill preparedness efforts.

5. Ensure all vessels, facilities, pipelines, and rail demonstrate their ability to pay for response, recovery costs, and damages from spills.

6. Manage a coordinated system for locating spill and orca deterrent vessels of opportunity and other volunteers to assist before, during, and after oil spills.
The public, elected officials, and other stakeholders expect the private sector and government agencies to carry out a rapid, aggressive, and well-coordinated response when incidents or threats of spills occur. Experience shows that anything less will not protect the environment, economy, or meet public expectations.

The Spills Program has made excellent progress since the late 1990s to improve response capabilities. The program is always looking to improve and enhance program work, particularly in co-managing major spills and incidents.

This strategic plan commits the program to expanding its work with the broader response community through the Northwest Area Committee. This applies during every spill in which an incident command is established, and in other venues, to deliver the most seamless, effective response possible. This includes expanding work with first response agencies and tribes throughout Washington to provide training and equipment.

Washington’s unique Natural Resource Damage Assessment program allows compensation for injury to publicly-owned natural resources and restoration of impacted resources. The program will work with other federal and state resources trustee partners on efficient damage assessments. The program will also look for coordinated restoration opportunities for recovering injured resources.

The rapid, aggressive, and well-coordinated response posture will require continued interagency collaboration and expansion of the NWACP policy.

The policy does not change the Incident Command System’s response objectives for specific incidents. For example, the first priority will always be the health and safety of the public and responders, followed by source control and environmental protection. A rapid and aggressive response must not be at the expense of safety.

**GOAL 5 STRATEGIES**

1. Employ demonstrated best available technology when responding to spills.
2. Provide local governments, tribes, and first responders the necessary tools and training to effectively respond to spills.
3. Assist in the environmentally sound removal and disposal of derelict vessels to minimize risk of pollutants spilling into state waters.
4. Work collaboratively with federal, tribal, state, and local response partners to receive and provide timely notification of incidents and near misses.
5. Enhance a well-managed incident management team and crisis management team where Ecology is able to provide essential personnel and equipment while protecting the interests of Washington State.
6. Improve effective investigations to identify the causes of spills and hold responsible parties accountable through appropriate enforcement and cost recovery actions.
7. Ensure spilloers restore injured resources.
8. Ensure responders have adequate training and equipment to safely respond to evolving risks.
Goal 6: Build and maintain a positive organizational culture to attract and retain a talented workforce.

The program’s staff is its greatest asset. Investing in an organizational culture that respects their skill and commitment, gives them the tools and training to succeed, and recognizes their contributions is key to finding and keeping the dedicated professionals that can accomplish the Spills Program mission and vision.

In 2014, the program created an internal engagement team to identify organizational health challenges and potential solutions, and collaboratively implement those solutions. That work is key to achieving this strategic goal.

In addition, recent policy updates allowing for additional flexibility will help employees meet both their personal needs and the needs of the program as it builds a modern work force.

GOAL 6 STRATEGIES

1. Use the Model of Engagement to build a collaborative and positive work environment.
2. Ensure that program staff are properly resourced and trained.
3. Incorporate Lean processes into program work.
4. Commit to provide a work/life balance for staff through prioritization of our work and flexibility in a changing environment.
5. Use technology solutions to improve business outcomes.
6. Support an employee-centered, culture of engagement, mission-focused program to sustain our work into the future.
Accomplishing the Spills Program mission and vision depends on the support and assistance of the public in numerous ways. Public reports are frequently the first notification the program receives of a spill. Individuals can prevent spills from their personal vehicles, vessels, residences, and workplaces. Effective spill response and cleanup requires collaboration with the affected communities and volunteers.

To earn that support and assistance, the program informs the public of the spill risks in their communities, how it is managing that risk, and the program’s policy decisions. The program shares its work using all available tools, including in-person meetings and hearings, releases and interviews to news media, printed materials, and electronic content.

GOAL 7 STRATEGIES

1. Innovate new ways to keep communities and the public informed of oil transportation issues.
2. Develop educational campaigns to engage the public about our program work.