



STATE OF WASHINGTON
DEPARTMENT OF SOCIAL AND HEALTH SERVICES
Aging and Long-Term Support Administration
PO Box 45600, Olympia, WA 98504-5600

October 2, 2015

CERTIFIED MAIL 7007 1490 0003 4197 0428

Administrator
The Cannon House
113 23rd Avenue South
Seattle, WA 98144

Assisted Living Facility License #2056
Licensee: Sea Mar Community Health Centers

**IMPOSITION OF CONDITIONS ON A LICENSE AND
STOP PLACEMENT ORDER PROHIBITING ADMISSIONS**

Dear Administrator:

On September 24, 2015, the Department of Social and Health Services (DSHS), Residential Care Services completed an inspection/investigation at your facility. This letter constitutes formal notice of imposition of conditions on the license and stop placement order prohibiting admissions for your assisted living facility, also known as **The Cannon House**, located at **113 23rd Avenue South, Seattle**, by the State of Washington, Department of Social and Health Services. These actions are taken under the authority granted pursuant to Laws of 1998, Chapter 272 and RCW 18.20.190.

The conditions on the license and stop placement order prohibiting admissions are based on the following violations of the RCW and/or WAC as described in the attached Statement of Deficiencies (SOD) report dated **September 24, 2015**.

Stop Placement order prohibiting admissions and Conditions on a License.

WAC 388-78A-2210(1)(b) – Medication services.

The licensee failed to implement and maintain systems to promote safe medication services for three residents.

This is a repeat citation from April 22, 2011 and March 7, 2013.

WAC 388-78A-2350(1)(2)(a)(b) – Coordination of health care services.

The licensee failed to coordinate services with an external health care provider for one resident who received wound care.

This is a repeat citation from March 7, 2013, September 9, 2013, January 14, 2014 and February 17, 2015.

WAC 388-78A-2462(2)(a)(b) – Background checks—Who is required to have.

The licensee failed to submit a Washington State name and date of birth background check and national fingerprint background check for one staff and no national fingerprint background check for one staff.

This is a repeat citation from August 20, 2014.

WAC 388-78A-2466(1)(a)(b)(2) – Background checks—Washington state name and date of birth background check—Valid for two years—National fingerprint background check—Valid indefinitely.

The licensee failed to develop systems to ensure a new DSHS background authorization form is submitted every two years for three staff members.

This is a repeat citation from August 20, 2014.

WAC 388-78A-2474(1)(2)(a)(b)(c)(d)(e) – Training and home care aide certification requirements.

The licensee failed to develop systems to ensure long-term care workers have valid cardiopulmonary resuscitation (CPR) and first aid cards for five staff members and that two staff members completed proper CPR and first aid trainings from hands on demonstration classes.

This is a repeat citation from August 20, 2014.

WAC 388-78A-2474(1)(2)(e) – Training and home care aide certification requirements.

The licensee failed to ensure long-term care workers have completed twelve (12) hours of DSHS approved continuing education curriculums and instructors by their birthday for four staff.

This is a repeat citation from August 20, 2014.

WAC 388-78A-2490 – Specialized training for developmental disabilities.

WAC 388-112-0165(1)(2) – Who is required to complete specialty training, and when?

The licensee failed to develop systems to ensure long-term care workers had completed the required developmental disability specialty training for seven staff within the required training time frames.

This is a repeat citation from August 20, 2014.

WAC 388-78A-2500(1)(2)(a)(b)(c)(d) – Specialized training for mental illness.

The licensee failed to develop systems to ensure long-term care workers had completed the required mental health specialty trainings for five staff members within the required time frames.

This is a repeat citation from August 20, 2014.

WAC388-78A-2510 – Specialized training for dementia.

WAC 388-112-0165(1)(2) – Who is required to complete specialty training, and when?

The licensee failed to develop systems to ensure long-term care workers had completed the required Dementia specialty trainings for five staff members within the required time frames.

This is a repeat citation from August 20, 2014.

WAC 388-78A-2600(2)(i)(1)(p) – Policies and procedures.

The licensee failed to implement and train staff on policies and procedures to address what staff must do to manage medications for two residents.

WAC 388-78A-2700(2)(c)(i)(ii) – Safety measures and disaster preparedness.

The licensee failed to investigate, document investigative actions, or institute appropriate preventive measures for two residents.

This is a repeat citation from January 14, 2014, February 17, 2015 and August 11, 2015.

WAC 388-78A-2730(1)(b) – Licensee’s responsibilities.

The licensee failed to comply with licensing laws and regulations at all times as evidenced by repeat or uncorrected citations.

The stop placement order prohibiting admissions to your assisted living facility is effective immediately upon **verbal** notice to you on **October 2, 2015**, and certified mail receipt of this letter and the attached Statement of Deficiencies report. The stop placement order prohibiting admissions will not be postponed pending an administrative hearing or informal dispute resolution process, as is required by RCW 18.20.190(4). The stop placement applies to all new admissions, re-admissions, and transfer of residents.

During the stop placement, you may not admit any new resident to your assisted living facility. In addition, you may not allow any resident who was absent from the home due to a temporary non-out-patient stay (not including out-patient treatment) at a hospital, nursing home or other treatment center to return during the stop placement unless you obtain advance approval from the department. You may request such approval by contacting Jim Sherman, Field Manager at (253) 234-6020.

Because it may not be possible to reach the Field Manager on a weekend or holiday, any pre-approval requests should be made as soon as possible during the business week. Such exceptions are made at the sole discretion of the department on a case-by-case basis. The department may impose sanctions or take other legal action if you fail to comply with the stop placement of admissions.

The department will terminate the stop placement order prohibiting admissions when the violations necessitating the stop placement have been corrected and you exhibit the capacity to maintain adequate care and service.

Conditions on License

The department has determined that the following conditions shall be placed on your assisted living facility license:

The licensee will hire a Registered Nurse Consultant, not previously associated with the facility, to assist the licensee to:

- *Assess the current medication system and if necessary, develop a new system or modify the existing system to comply with all applicable medication regulations for assisted living facilities to include WAC 388-78A-2210 through WAC 388-78A-2290 and demonstrate compliance for a period of six months.*
- *Train all current and oncoming staff performing as medication technicians in the new or updated medication system to ensure a clear understanding of medication delivery.*
- *Audit the medication delivery system until such a time as the facility can demonstrate compliance with WAC 388-78A-2210 for a period of six months.*
- *Assist the licensee/Administrator on modifying, if necessary, and training staff on all policies and procedures relating to medication assistance and delivery to residents.*

The licensee must develop and train all staff on a system to coordinate with outside health providers to ensure resident care needs are met.

The licensee must develop a system to ensure and track that all current staff and newly hired staff have the necessary training requirements completed as identified in WAC 388-112 and WAC 388-78A laws and rules related to staff training.

The licensee will provide the Registered Nurse Consultant with a copy of the September 24, 2015 Statement of Deficiencies (SOD).

The Registered Nurse Consultant must give monthly monitoring reports to the Department until such time the Department agrees with the Consultant that the weekly reports are no longer necessary.

The Registered Nurse Consultant will be available to the Department for questions.

The licensee must post this Notice of Conditions of Operation, with the license, in a visible location in a common use area.

These conditions are effective on **October 2, 2015** via **verbal** notice to you and remain in effect until lifted by formal Department of Social and Health Services notice.

NOTE: *These are the violations which resulted in the conditions on a license and stop placement order prohibiting admissions; see the attached Statement of Deficiencies for any additional violations.*

Attestation (Plan of Correction):

Return the enclosed SOD within 10 calendar days with the following:

- The date you have or will have each deficiency corrected;
- A signature and date attesting that you are taking actions to correct and maintain correction for each cited deficiency.

Return the signed and dated SOD to:

James Sherman, Field Manager
Region 2, Unit D
20425 – 72nd Avenue South, Suite 400
Kent, WA 98032-2388
Phone: (253) 234-6020 / Fax: (253) 395-5071

Appeal Rights:

You have two appeal rights: Informal Dispute Resolution (IDR) and an Administrative Hearing. Each has a different request timeline.

Informal Dispute Resolution [RCW 18.20.195]

You have an opportunity to challenge the deficiencies and/or enforcement actions through the state's IDR process. **All IDR requests must be in writing and include:**

- The deficiencies you are disputing; and
- The method of review you prefer (face-to-face, telephone conference or documentation review).

The written request must be received by the 10th working day from receipt of this letter.

During the IDR process you will have the opportunity to present written and/or oral evidence to dispute the deficiencies.

Send your written request to:

Informal Dispute Resolution Program Manager
Residential Care Services
PO Box 45600
Olympia, Washington 98504-5600
Fax (360) 725-3225

Formal Administrative Hearing

You may contest the conditions on a license and stop placement order prohibiting admissions by requesting a formal administrative hearing to challenge the deficiencies which resulted in the conditions on a license and stop placement order prohibiting admissions. **All hearing requests must be in writing and include:**

- A copy of this letter; and
- A copy of the Statement of Deficiencies.

The written request must be received within twenty-eight (28) calendar days of receipt of this letter.

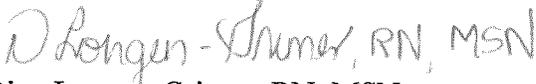
Send your **written** request to:

Office of Administrative Hearings
PO Box 42489
Olympia, Washington 98504-2489

Administrator
The Cannon House
October 2, 2015
Page 7

If you have any questions, please contact Jim Sherman, Field Manager at (253) 234-6020.

Sincerely,


Dina Longen-Grimes, RN, MSN
Compliance Specialist
Residential Care Services

Enclosure

cc: Field Manager, Region 2, Unit D
RCS Regional Administrator, Region 2
HCS Regional Administrator, Region 2
DDA Regional Administrator, Region 2
WA LTC Ombuds
Office of Financial Recovery, Vendor Program Unit
Valentina Karnafel, HCS
HQ Central Files
ndl