



STATE OF WASHINGTON  
DEPARTMENT OF SOCIAL AND HEALTH SERVICES  
AGING AND LONG-TERM SUPPORT ADMINISTRATION  
20425 72nd Avenue S, Suite 400, Kent, WA 98032-2388

September 12, 2016

5 STONES INC  
ABOVE WOODINVILLE ADULT FAMILY HOME  
16011 148th Northeast  
woodinville, wa 98072

RE: ABOVE WOODINVILLE ADULT FAMILY HOME License #751935

Dear Provider:

The Department completed a follow-up inspection of your Adult Family Home on September 1, 2016 for the deficiency or deficiencies cited in the report/s dated July 11, 2016 and found no deficiencies.

The Department staff who did the inspection:  
Brenda Mooney, Licensor

If you have any questions please, contact me at (253) 234-6033.

Sincerely,

Bennetta Shoop, Field Manager  
Region 2, Unit E  
Residential Care Services



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 20425 72nd Avenue S, Suite 400, Kent, WA 98032-2388

Statement of Deficiencies	License #: 751935	Completion Date
Plan of Correction	ABOVE WOODINVILLE ADULT FAMILY HOME	July 11, 2016
Page 1 of 4	Licensee: 5 STONES INC	

You are required to be in compliance with all of the licensing laws and regulations at all times to maintain your adult family home license.

The department has completed data collection for the unannounced on-site full inspection of:  
 7/6/2016

ABOVE WOODINVILLE ADULT FAMILY HOME  
 14906 NE WOODINVILLE-DUVALL RD  
 WOODINVILLE, WA 98072

The department staff that inspected the adult family home:  
 Brenda Mooney, M.A., Licensor

RECEIVED  
 AUG 24 2016  
 DSHS/ADSA/RCS

From:  
 DSHS, Aging and Long-Term Support Administration  
 Residential Care Services, Region 2, Unit E  
 20425 72nd Avenue S, Suite 400  
 Kent, WA 98032-2388  
 (253)234-6033

As a result of the on-site full inspection the department found that you are not in compliance with the licensing laws and regulations as stated in the cited deficiencies in the enclosed report.

Bennett Reed  
 Residential Care Services

08/11/2016  
 Date

I understand that to maintain an adult family home license I must be in compliance with all the licensing laws and regulations at all times.

[Signature]  
 Provider (or Representative)

8-15-16  
 Date

**WAC 388-76-10135 Qualifications Caregiver. The adult family home must ensure each caregiver has the following minimum qualifications:**

(4) Completion of the training requirements that were in effect on the date they were hired including requirements described in chapter 388-112 WAC;

**WAC 388-76-10146 Qualifications Training and home care aide certification.**

(3) All persons listed in subsection (2) of this section, must obtain the home-care aide certification if required by this section or chapters 246-980 or 388-112 WAC.

(a) Until March 1, 2016, a provisional home-care aide certification may be issued by the department of health to a long-term care worker who is limited English proficient.

**WAC 388-112-0106 Who is required to obtain certification as a home care aide, and when? Unless exempt under WAC 246-980-070 , the following individuals must be certified by the department of health as a home care aide within the required timeframes:**

(1) All long-term care workers, within one hundred and fifty days of hire;

**This requirement was not met as evidenced by:**

Based on interview and record review, the home did not ensure that 1 of 3 staff (Caregiver A) was qualified as a caregiver. Failure to ensure that a caregiver met minimum qualifications had the potential to expose 5 of 5 residents to substandard care.

**Findings include:**

On 7/6/16, Caregiver A was observed assisting residents at the dining table. A review of staff qualifications, revealed Caregiver A had an orientation with a hire date of 12/27/15 although when Caregiver A was asked how long she had worked at the home, she said it had been "about a year".

Caregiver A had a certificate showing she completed the certified nursing assistant training on 5/1/14. However, Caregiver A was not certified. When asked about this, Caregiver A said she did not pass the test to become certified on her first try, and had not retaken the test in the 24 months in between.

Caregiver A was over the 150 days since her official hire date at this home in which she needed to become certified.

The Provider said she thought Caregiver A had all the qualifications she needed and was unaware that 'certification' was required. Additionally, the Provider said it was hard to find qualified staff with all the current requirements.

DSHS/ADS/RCS

AUG 24 2016

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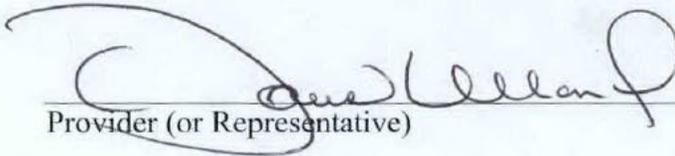
**Attestation Statement**

I hereby certify that I have reviewed this report and have taken or will take active measures to correct this deficiency. By taking this action, ABOVE WOODINVILLE ADULT FAMILY HOME is or will be in compliance with this law and / or regulation on (Date) 7-11-16. In addition, I will implement a system to monitor and ensure continued compliance with this cited deficiency.

DSHS/ADS/RCS

AUG 24 2016

RECEIVED

  
Provider (or Representative)

8-15-16  
Date

**WAC 388-76-10750 Safety and maintenance. The adult family home must:**

(1) Keep the home both internally and externally in good repair and condition with a safe, comfortable, sanitary, homelike environment that is free of hazards;

**This requirement was not met as evidenced by:**

Based on observation and interview, the home had stairway inside the living room and adjacent to the front door that had an open unsecured gate. Failure to ensure a secure gate was in place at the top of a the stairway which ran down to the lower level of the home had the potential to result in injury to any resident who could lose their balance at the top of the stairs, potentially 2 of 5 residents (Resident #2, and #5).

**Findings include:**

On 7/6/16, a tour of the home revealed that the stairway to the bottom level of this split level home had a gate with no locking device and which did not fully close. The stairs were situated inside the entry door to the home and behind a sofa in the living room area. The gate was observed to be open wide on one occasion, and thereafter it was left ajar (not fully closed or secured).

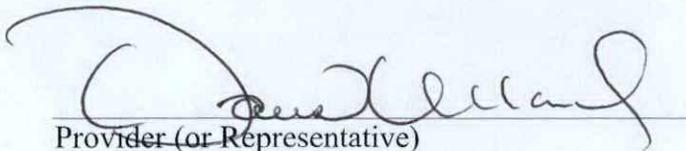
Further examination revealed the wooden gate did not actually fit the opening at the top of the stairs. It was a few centimeters too wide with a casual closing of the gate. It was also kept open by a protruding chair lift on the top of the stairs.

The Provider was observed to go down and up the stairs frequently to attend to 2 residents who [redacted] on the lower level (Residents #3, and #4), an activity that made it more probable at times that the gate would be left ajar.

Two of the 5 residents of the home (Resident #2, #5) were [redacted] and had diagnoses of [redacted]. According to their assessments, Resident #2 and #5's behavioral disturbances manifested as incidents of [redacted]. This raised the possibility of such incidents affecting the residents' balance in or near the opening to the stairs a concern.

**Attestation Statement**

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Provider (or Representative)

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